

<u>IA Ref</u>	<u>Audit Title</u>	<u>2010/11</u>	<u>2011/12</u>	<u>2012/13</u>
MANAGED AUDITS (Key Financial Systems)				
	Accounts Payable/Purchase Ledger	30	25	25
	Accounts Receivable/Sales Ledger	30	25	25
	Asset Management (incl. Asset Register)/Capital Accounting	30	25	25
	Council Tax	40	40	30
	Housing Benefits	60	60	50
	Main Accounting Systems (MAS)	30	25	25
	National Non Domestic Rates NNDR	40	40	30
	Payroll	30	25	25
	SWIFT Financials	15	15	15
	Treasury Management	30	30	25
	Housing Rents	30	30	25
	Cash And Banking (Income)	30	30	20
TOTAL - MANAGED AUDITS		395	370	320
ASSURANCE AUDITS - OFFICE OF CHIEF EXECUTIVE				
	Local Area Agreement & Pooled Funding	10	10	10
	Partnership Agreements and Joint Working	20	20	20
	Performance Management (i.e. Data Quality Management & BVPs)	20	20	20
TOTAL - OFFICE OF CHIEF EXECUTIVE		50	50	50
ASSURANCE AUDITS - CUSTOMER AND SHARED SERVICES				
	Major Projects	20	20	20
	Area Based Grants	15	0	0
	Budgeting & Budgetary Controls	20	0	0
	Implementation of IFRS	5	5	0
	Accounts Payable (Purchasing Cards Project)	10	0	0
TOTAL - CUSTOMER AND SHARED SERVICES		70	25	20
ASSURANCE AUDITS - CHILDREN'S SERVICES				
	Safeguarding Vulnerable Children	20	20	20
	Commissioning	20	20	20
	Learning Skills Council - Transfer of Responsibilities to CBC	15	15	15
	Schools General - School Improvement	300	250	250
TOTAL - CHILDREN'S SERVICES		355	305	305

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ASSURANCE AUDITS - SOCIAL CARE, HEALTH & HOUSING				
	Personalisation/individual Budgets	0	20	20
	Safeguarding Vulnerable Adults	0	20	20
	Commissioning/Contracting	20	20	20
	Local Management of establishments (including Day Centres)	20	20	0
	Financial Management	0	20	0
TOTAL - SOCIAL CARE, HEALTH & HOUSING		40	100	60
ASSURANCE AUDITS - SUSTAINABLE COMMUNITIES				
	Section 106 Agreements	15	0	0
	Sustainability	15	15	15
	EEDA Economic Participation Programme	10	10	10
TOTAL - SUSTAINABLE COMMUNITIES		40	25	25
ASSURANCE AUDITS - GOVERNANCE				
	Hospitality & Gifts Registers	0	0	0
	Members Code of Conduct	0	5	0
	Declaration of Interests	5	0	0
	Ethical Governance	0	0	5
TOTAL - GOVERNANCE		5	5	5
ASSURANCE AUDITS - CONTRACTS & PARTNERSHIPS				
	Service Level Agreements	10	10	10
	Contracts Management	20	20	20
	Contracts Register	10	0	0
TOTAL - CONTRACTS & PARTNERSHIPS		40	30	30

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ASSURANCE AUDITS - ICT				
	Information Security (including ContactPoint)	10	10	10
	SAP Access & Authorisation	10	10	10
	Desk Top Security and End Point Compliance	0	15	0
	IT Change and Release Management	0	0	15
	IT Disaster Recovery (including Network Backup)	0	15	0
	IT Governance (including IT Strategy)	0	0	15
	SAP Systems	0	15	0
	SAP Basis	15	0	0
	SAP Development	15	0	0
TOTAL - ICT		50	65	50
SPECIAL INVESTIGATIONS				
	National Fraud Initiative (NFI)	40	40	40
	Special Investigations	30	30	30
	Pro Active Anti Fraud	20	20	20
TOTAL - SPECIAL INVESTIGATIONS		90	90	90
AD HOC CONSULTANCY ETC.				
	Annual Governance Statement	5	5	5
	Use of Resources	5	5	5
	Audit of Individual Grants	30	30	30
	General Advice	20	20	20
	Contingency	191	298	408
	Professional Groups	14	14	14
	Head of Audit Chargeable Against Plan	55	58	58
	Benchmarking Exercise	5	5	5
TOTAL - AD HOC CONSULTANCY		325	435	545
CARRY OVER WORK				
	Completion of reviews in progress as at 31st March	100	100	100
TOTAL - CARRY OVER WORK		100	100	100
TOTAL CHARGEABLE DAYS REQUIRED		1560	1600	1600